

10 Homogeneous solutions in dimension 3

A **Lie group** is a C^∞ manifold G with a group structure such that $\mu : G \times G \rightarrow G$, defined by $\mu(\sigma, \tau) = \sigma \cdot \tau^{-1}$ is C^∞ . Given $\sigma \in G$, we define left multiplication $\sigma_L : G \rightarrow G$ by $\sigma_L(\tau) = \sigma \cdot \tau$ and right multiplication $\sigma, \sigma_R : G \rightarrow G$ by $\sigma_R(\tau) = \tau \cdot \sigma$.

Definition 1 A Riemannian metric g on G is **left-invariant** if for any $\sigma \in G$, σ_L is an isometry of (G, g) : $(\sigma_L)^* g = g$.

The connection and curvature of a left-invariant metric may be computed algebraically as follows.

Lemma 2 If g is a left-invariant metric on G and if X, Y, Z, W are left-invariant vector fields, then

1.
$$\langle \nabla_X Y, Z \rangle = \frac{1}{2} (\langle [X, Y], Z \rangle - \langle [X, Z], Y \rangle - \langle [Y, Z], X \rangle), \quad (1)$$

- 2.

$$\langle \text{Rm}(X, Y)Z, W \rangle = \langle \nabla_X Z, \nabla_Y W \rangle - \langle \nabla_Y Z, \nabla_X W \rangle - \langle \nabla_{[X, Y]} Z, W \rangle. \quad (2)$$

We leave the proofs as exercises. For example the formula for the Levi-Civita connection follows from the formula for the connection given in lecture 1 and the fact that $X \langle Y, Z \rangle = 0$, etc.

Left-invariant metrics on Lie groups (and more generally, homogeneous metrics) provide nice examples to study the behavior of the Ricci flow.

A Riemannian manifold (M^n, g) is (globally) **homogeneous** if for every $x, y \in M^n$ there exists an isometry $\iota : M^n \rightarrow M^n$ with $\iota(x) = y$. A homogeneous manifold looks the same at every point (but not necessarily in every direction). A Lie group with a left-invariant metric is homogeneous. Suppose G is a 3-dimensional **unimodular** (i.e., its volume form is bi-invariant) Lie group with a left invariant metric g . Then there exists a left-invariant frame field $\{f_i\}_{i=1}^3$ with dual coframe field $\{\eta^i\}_{i=1}^3$ such that there are positive constants A, B, C where the metric is diagonal:

$$g = A\eta^1 \otimes \eta^1 + B\eta^2 \otimes \eta^2 + C\eta^3 \otimes \eta^3$$

and the Lie brackets are of the form:

$$[f_i, f_j] = c_{ij}^k f_k$$

where $c_{ij}^k \in \{1, 0, -1\}$ and $c_{ij}^k = 0$ unless i, j, k are distinct. (See [7].) Let $\lambda \doteq c_{23}^1, \mu \doteq c_{31}^2, \nu \doteq c_{12}^3$. The frame field $\{e_i\}_{i=1}^3$ defined by $e_1 \doteq A^{-1/2} f_1,$

$e_2 \doteq B^{-1/2}f_2$, $e_3 \doteq C^{-1/2}f_3$, is orthonormal. The Ricci tensor is diagonal and given by:

$$\begin{aligned}\text{Rc}(e_1, e_1) &= \frac{\lambda^2 A^2 - (\mu B - \nu C)^2}{2ABC} \\ \text{Rc}(e_2, e_2) &= \frac{\mu^2 B^2 - (\nu C - \lambda A)^2}{2ABC} \\ \text{Rc}(e_3, e_3) &= \frac{\nu^2 C^2 - (\lambda A - \mu B)^2}{2ABC}.\end{aligned}$$

Hence the Ricci flow equation is equivalent to the following system:

$$\begin{aligned}\frac{dA}{dt} &= \frac{(\mu B - \nu C)^2 - \lambda^2 A^2}{BC} \\ \frac{dB}{dt} &= \frac{(\nu C - \lambda A)^2 - \mu^2 B^2}{AC} \\ \frac{dC}{dt} &= \frac{(\lambda A - \mu B)^2 - \nu^2 C^2}{AB}.\end{aligned}$$

We first consider the case when the Lie group G is $SU(2)$. In this case there exists a frame such that $\lambda = \mu = \nu = -2$.

Exercise 3 Show that for a left-invariant metric on $SU(2)$

$$R = 2 \frac{A^2 + B^2 + C^2 - (B - C)^2 - (A - C)^2 - (A - B)^2}{ABC}.$$

We have the following (see p. 728-9 of [3]).

Theorem 4 (Isenberg-Jackson) For any left-invariant initial metric g_0 on $SU(2)$ there exists a solution $g(t)$ of the normalized Ricci flow defined for all $t \in [0, \infty)$ with $g(0) = g_0$ such that $g(t)$ converges to a constant positive sectional curvature metric as $t \rightarrow \infty$.

Under the normalized Ricci flow, the quantity $(ABC)(t)$ is proportional to the volume and hence independent of time. Thus we may assume without loss of generality $(ABC)(t) \equiv 8/3$. Using this one can show that on $SU(2)$ the volume normalized Ricci flow equations become

$$\frac{dA}{dt} = A \left(A(B + C - 2A) + (B - C)^2 \right) \quad (3)$$

$$\frac{dB}{dt} = B \left(B(A + C - 2B) + (A - C)^2 \right) \quad (4)$$

$$\frac{dC}{dt} = C \left(C(A + B - 2C) + (A - B)^2 \right). \quad (5)$$

From this we may compute the evolution equations for the difference:

$$\begin{aligned}\frac{d}{dt}(A - C) &= 2C^3 - 2A^3 + AB^2 + A^2B - BC^2 - B^2C \\ &= (-2(A^2 + AC + C^2) + B^2 + B(A + C))(A - C)\end{aligned} \quad (6)$$

and similarly for $\frac{d}{dt}(A - B)$ and $\frac{d}{dt}(B - C)$. We assume without loss of generality

$$A(0) \geq B(0) \geq C(0).$$

By the above equations, we have

$$A(t) \geq B(t) \geq C(t)$$

for all $t > 0$. Since $A + B - 2C \geq 0$, equation (5) implies $\frac{dC}{dt} \geq 0$ so that $C(t) \geq C(0)$.

Now we may estimate the factor on the RHS of (6)

$$\begin{aligned} & -2(A^2 + AC + C^2) + B^2 + B(A + C) \\ &= -2C^2 - (A^2 - B^2) - AC - (A + C)(A - B) \\ &\leq -2C^2 \leq -2C(0)^2. \end{aligned}$$

Thus

$$\frac{d}{dt}(A - C) \leq -2C(0)^2(A - C).$$

We conclude that

$$A(t) - C(t) \leq (A(0) - C(0))e^{-2C(0)^2 t}$$

for all $t > 0$. That is, $A - C$ decays exponentially to zero. Since $A \geq B \geq C$ and we have normalized the volume so that $ABC \equiv 8/3$, we conclude that $A(t), B(t), C(t)$ exponentially converge to $A_\infty = B_\infty = C_\infty \doteq 2/\sqrt[3]{3}$. That is, $g(t)$ exponentially converges as $t \rightarrow \infty$ to a constant sectional curvature metric.

Next we consider the case where G is the Heisenberg group (Nil) of upper-triangular 3×3 real matrices. In this case there is a frame where $\lambda = -2$ and $\mu = \nu = 0$ and the (unnormalized) Ricci flow equations are

$$-\frac{d}{dt} \log A = \frac{d}{dt} \log B = \frac{d}{dt} \log C = 4 \frac{A}{BC}. \quad (7)$$

We immediately see that A is decreasing whereas B and C are increasing. In fact $B/C, AB$ and AC are independent of time. We compute

$$\frac{d}{dt} \log \left(\frac{A}{BC} \right) = -12 \frac{A}{BC}$$

so that

$$\frac{A}{BC}(t) = \frac{1}{12} \left(\frac{B_0 C_0}{12 A_0} + t \right)^{-1}$$

where $A_0 \doteq A(0), B_0 \doteq B(0), C_0 \doteq C(0)$. Thus one can explicitly solve (7) to get

$$\frac{A_0}{A(t)} = \frac{B(t)}{B_0} = \frac{C(t)}{C_0} = \left(1 + \frac{12 A_0}{B_0 C_0} t \right)^{1/3}.$$

Exercise 5 Show that the sectional curvatures are

$$\begin{aligned} K(e_2 \wedge e_3) &= -\frac{3A}{BC} = -3 \left(\frac{B_0 C_0}{A_0} + 12t \right)^{-1} \\ K(e_3 \wedge e_1) &= \frac{A}{BC} = \left(\frac{B_0 C_0}{A_0} + 12t \right)^{-1} \\ K(e_1 \wedge e_2) &= \frac{A}{BC} = \left(\frac{B_0 C_0}{A_0} + 12t \right)^{-1} \end{aligned}$$

and hence satisfy $|\text{sect}(g(t))| \leq \text{const} \cdot t^{-1}$.

Note that the scalar curvature is negative as it must be for the solution to exist for all time. If we consider a compact quotient of the Heisenberg group, such as G/\mathbb{Z}^3 , the diameters satisfy $\text{diam}(g(t)) \leq \text{const} \cdot (t+1)^{1/6}$. Hence

$$|\text{sect}(g(t))| \text{diam}(g(t))^2 \leq \text{const} \cdot t^{-2/3} \rightarrow 0 \quad \text{as } t \rightarrow \infty. \quad (8)$$

Thus the solution **collapses** as time tends to infinity. In fact, (8) says that the metrics become more and more **almost flat**. The only nonzero bracket is $[e_2, e_3] = -\sqrt{\frac{A}{BC}}e_1 \approx t^{-1/2}e_1$, so that the brackets tend to zero when measured in an orthonormal frame.

See [1], [3], [2], [5] and [6] for further discussion of the Ricci flow of homogeneous 3-manifolds and related **quasi-stability** questions (some in the category of warped products). Besides $SU(2)$ and Nil , some other 3-dimensional homogeneous geometries correspond to Solv , $\widetilde{\text{SL}}(2, \mathbb{R})$, $\widetilde{\text{Isom}}(\mathbb{R}^2)$ and \mathbb{E}^3 (see [8]). In the case of Solv and $\widetilde{\text{SL}}(2, \mathbb{R})$, homogeneous solutions exist for all time and $|\text{Rm}| \leq Ct^{-1}$. In the case of $\widetilde{\text{Isom}}(\mathbb{R}^2)$ the solution exists for all time and $|\text{Rm}| \leq Ce^{-ct}$ while for \mathbb{E}^3 the solution is flat and hence stationary. These geometries roughly correspond to Thurston's model geometries, but are not in 1-1 correspondence, since the geometries he considers have maximal isotropy groups. See Isenberg-Jackson-Lu [4] for work on the Ricci flow on 4-dimensional homogeneous spaces.

References

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